Classical limits in field theory

Jethro van Ekeren

Universidade Federal Fluminense (UFF) Niterói, Rio de Janeiro Brazil

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Joint work with George Andrews (Penn State) and Reimundo Heluani (IMPA).

Classical (Hamiltonian) mechanics.

A collection A of "observables" like position, momentum, energy, etc.

These form a commutative algebra, i.e., we can add and multiply by scalars, and also multiply elements together.

Think of smooth functions over some manifold M.

There is an additional structure: A Poisson bracket

$$egin{array}{lll} A\otimes A o A,\ a,b\mapsto \{a,b\} \end{array}$$

Dynamics is given by Hamilton's equations: distinguished element $H \in A$, and the system evolves in such a way that

$$\frac{da}{dt} = \{H, a\}$$

for all $a \in A$.

Definition

A Poisson algebra is a commutative algebra A together with a Poisson bracket:

$$\{\cdot, \cdot\} : A \otimes A \to A,$$

i.e., (1) $\{b, a\} = -\{a, b\},$ (2) $\{a, \{b, c\}\} - \{b, \{a, c\}\} = \{\{a, b\}, c\},$ (3) $\{a, bc\} = b\{a, c\} + a\{b, c\}.$

The first and second axioms here are the axioms of a Lie algebra, i.e.,

Definition

A Lie algebra is a vector space \mathfrak{g} together with a Lie bracket:

$$[\cdot,\cdot]:\mathfrak{g}\otimes\mathfrak{g}\to\mathfrak{g},$$

i.e.,

[b, a] = −[a, b],
 [a, [b, c]] − [b, [a, c]] = [[a, b], c].

So a Poisson algebra is a vector space which is at once a commutative algebra and a Lie algebra, and the two structures interact according to the third axiom in the definition.

Classical field theory.

Now the fundamental objects which evolve in time are *fields*. A field F has a value F(x) at each point x in space.

For any field F in the theory, and any point x in space, we could observe the value of F at x.

So our algebra contains an element $L_{F,x}$ for each field F and each point x.

This is a lot of observables!

Poisson bracket

$$\{L_{F,x}, L_{G,y}\} = ?$$

A new ingredient: locality.

The principle of *locality* says that fields at separate points $x \neq y$ should evolve independently.

So

$$\{L_{F,x}, L_{G,y}\} = 0$$
 whenever $x \neq y$.

So

$$\{L_{F,x}, L_{G,y}\} = L_{K,y}\delta(x-y)$$

where K is some other field of the theory.

Actually the truth is a little more complicated

$$\{L_{F,x}, L_{G,y}\} = \sum_{j=0}^{N} L_{K^{(j)},y} \partial_{y}^{j} \delta(x-y)$$

So we have an structure of the type

$$F, G \mapsto (K^{(0)}, K^{(1)}, \ldots, K^{(N)}).$$

Collection A of fields.

Let's write

$$\{F_{\lambda}G\} = \sum_{j\in\mathbb{Z}_+} K^{(j)} \frac{\lambda^j}{j!}.$$

If $G(y) \in A$ is a field, then its space derivative G'(y) is another field. So A comes equipped with an operation

$$T: A \to A, \qquad F \mapsto F'.$$

The operation T should satisfy the Leibniz rule, and (A, T) is called a *differential algebra*.

Now consider

$$\{L_{F,x}, L_{G',y}\} = \frac{\partial}{\partial y} \left(L_{K,y} \delta(x-y) \right)$$
$$= L_{K',y} \delta(x-y) + L_{K,y} \partial_y \delta(x-y).$$

In terms of the λ -bracket this says

$$\{F_{\lambda} TG\} = (T + \lambda)\{F_{\lambda} G\}.$$

Definition

A Poisson vertex algebra is a commutative algebra A together with a derivation T, and a $\lambda\text{-bracket}$

$$\{a_{\lambda}b\}=\sum_{j\geq 0}\frac{\lambda^j}{j!}a_{(j)}b,$$

such that

▶
$$\{a_{\lambda}Tb\} = (T + \lambda)\{a_{\lambda}b\}.$$

▶ $\{b_{\lambda}a\} = -\{a_{-\lambda-T}b\}.$
▶ $\{a_{\lambda}\{b_{\mu}c\}\} - \{b_{\mu}\{a_{\lambda}c\}\} = \{\{a_{\lambda}b\}_{\lambda+\mu}c\}.$
▶ $\{a_{\lambda}bc\} = \{a_{\lambda}b\}c + b\{a_{\lambda}c\}.$

Examples of Poisson vertex algebras...? Easiest examples: arc spaces. This is an algebra: $\mathbb{C}[x]$

This is a differential algebra: $\mathbb{C}[x, x', x'', ...]$ (the derivation T sends $x^{(n)}$ to $x^{(n+1)}$). More generally, let

$$E = \mathbb{C}[x_1, x_2, \ldots, x_r]/(f_1, f_2, \ldots, f_s).$$

The *arc algebra* of E is obtained by adjoining a derivation to E in the "freest possible way".

Explicitly

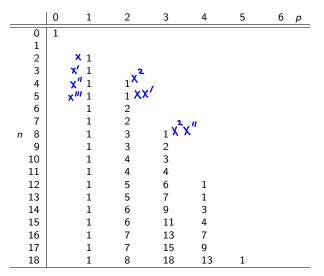
$$JE = \frac{\mathbb{C}[x_i, x'_i, x''_i, \ldots]}{(\partial^n(f_j))}.$$

Example: If $E = \mathbb{C}[x]/(x^3)$ then

$$JE = \frac{\mathbb{C}[x, x', x'', \dots]}{(x^3, 3x^2x', 6x(x')^2 + 3x^2x'', \dots)}.$$

Even for very simple algebras E, the arc algebra JE can be very complicated.

Structure of $J(\mathbb{C}[x]/(x^3))$



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Now let *E* be a Poisson algebra, and let A = JE.

Theorem

A carries a unique structure of Poisson vertex algebra such that

$$Tx = x'$$
 and $\{a_{\lambda}b\} = \{a, b\}$

for $x \in A$ and $a, b \in E \subset A$.

It's clear the structure is unique: for example we must have

$$\{a_{\lambda}b^{(n)}\}=(\lambda+T)^n\{a,b\},\$$

and $\{\cdot_{\lambda}\cdot\}$ extends to products by the Leibniz axiom.

Two dimensional conformal (quantum) field theory.

In quantum theory the states of a system are vectors in a vector space (more precisely a Hilbert space) V.

Now fields should be defined over the complex plane (or more generally over a Riemann surface).

The physics should be independent of the choice of coordinates used to describe it.

In this case, invariant under conformal transformations. (Preserve angles.) Infinitesimally (and locally) such a transformation is given by

$$z \mapsto w = \exp(\varepsilon f(z) \frac{d}{dz}) z$$

The Lie algebra of local vector fields has a basis

$$z^m rac{d}{dz}$$
, for $m \in \mathbb{Z}$.

More conventionally

$$L_n = -z^{n+1} \frac{d}{dz}, \quad \text{for } n \in \mathbb{Z},$$

with commutation relation

$$[L_m,L_n]=(m-n)L_{m+n}.$$

This Lie algebra:

$$W = \bigoplus_{n \in \mathbb{Z}} \mathbb{C}L_n, \qquad [L_m, L_n] = (m-n)L_{m+n},$$

is called the Witt algebra.

So our space of states V should carry a *representation* of W.

Representations of a group G:

$$\Sigma(g): V \to V$$

for each $g \in G$, such that

$$\Sigma(g_1g_2) = \Sigma(g_1)\Sigma(g_2).$$

Actually in quantum theory, the state of the system is described by a vector $v \in V$ (the Hilbert space), only up to rescaling. That is

v and αv

describe the same state.

So in principle "projective representations"

$$\Sigma(g_1g_2) = \alpha(g_1, g_2)\Sigma(g_1)\Sigma(g_2),$$

where

 $\alpha: \mathbf{G} \times \mathbf{G} \to \mathbb{C}^{\times}$

is a 2-cocycle, i.e.,

$$\alpha(g_1,g_2)\alpha(g_1g_2,g_3)=\alpha(g_1,g_2g_3)\alpha(g_2,g_3),$$

can appear.

A representation Σ of a Lie group G induces a representation σ of the Lie algebra $\mathfrak{g} = T_e G$.

That is, $\sigma(X): V \to V$ for each $X \in \mathfrak{g}$, such that

$$\sigma([X_1, X_2]) = \sigma(X_1)\sigma(X_2) - \sigma(X_2)\sigma(X_1).$$

If Σ is only a projective representation of ${\cal G}$ then σ is only a projective representation of ${\mathfrak g},$ i.e.,

$$\sigma([X_1, X_2]) = \sigma(X_1)\sigma(X_2) - \sigma(X_2)\sigma(X_1) + \varepsilon(X_1, X_2)I_V,$$

where

 $\varepsilon:\mathfrak{g}\times\mathfrak{g}\to\mathbb{C}$

is a 2-cocycle, i.e., satisfying

$$\varepsilon(X_1, [X_2, X_3]) + \varepsilon(X_2, [X_3, X_1]) + \varepsilon(X_3, [X_1, X_2]) = 0.$$

Suppose V finite dimensional, and σ is a projective representation.

Then we could redefine

$$\overline{\sigma}(X) = \sigma(X) - \frac{\operatorname{tr}_V \sigma(X)}{\dim(V)} I_V.$$

This is again a projective representation of \mathfrak{g} on V.

But by construction

$$\operatorname{tr}_V\overline{\sigma}(X)=0,$$

and so

$$0 = \operatorname{tr}_V \overline{\sigma}([X_1, X_2])$$

= $\operatorname{tr}_V (\overline{\sigma}(X_1)\overline{\sigma}(X_2) - \overline{\sigma}(X_2)\overline{\sigma}(X_1)) + \operatorname{tr}_V \overline{\varepsilon}(X_1, X_2)I_V,$
$$0 = 0 + \operatorname{tr}_V \overline{\varepsilon}(X_1, X_2)I_V,$$

So $\overline{\varepsilon} = 0$ and $\overline{\sigma}$ is a true representation of \mathfrak{g} .

Moral: on finite dimensional vector spaces, there are no nontrivial projective representations of Lie algebras.

For infinite dimensional representations, things are different.

A projective representation of the Witt algebra

$$W = \bigoplus_{n \in \mathbb{Z}} \mathbb{C}L_n, \qquad [L_m, L_n] = (m - n)L_{m+n}$$

yields a true representation of the Virasoro algebra

$$\mathcal{L} = \bigoplus_{n \in \mathbb{Z}} \mathbb{C}L_n \oplus \mathbb{C}C, \qquad [L_m, L_n] = (m - n)L_{m+n} + \delta_{m, -n} \frac{m^3 - m}{12}C, \qquad [C, \mathcal{L}] = 0.$$

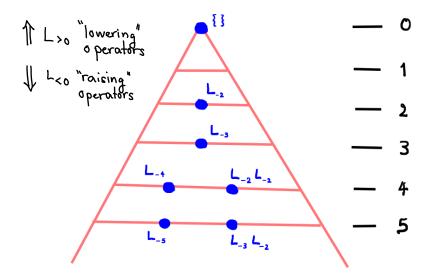
In the state space V (assuming it is an irreducible representation of \mathcal{L}), the element C acts by a constant, called the *central charge*.

Vacuum representations of \mathcal{L} . Positive half $\mathcal{L}_+ = \mathbb{C}C \oplus \bigoplus_{n \geq -1} \mathbb{C}L_n$. Vacuum modules

$$V(c) = U(\mathcal{L}) \otimes_{U(\mathcal{L}_+)} \mathbb{C}v$$

generated by v on which \mathcal{L}_+ acts via:

$$Cv = cv$$
, and $L_{>-1}v = 0$.



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Natural \mathbb{Z}_+ -grading on V(c) with $V(c)_n$ spanned by the monomials

$$L_{\lambda} = L_{-\lambda_1} L_{-\lambda_2} \dots L_{-\lambda_k} v, \quad \deg = \sum \lambda_i = n,$$

where

$$\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_k > 1.$$

runs over the partitions λ of *n* into parts greater than 1.

Generating function (character) of V(c):

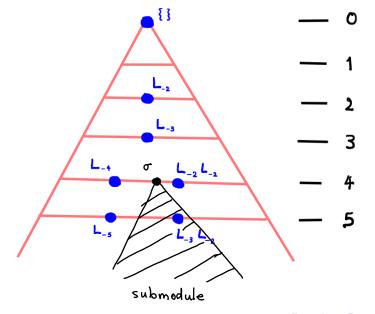
$$\chi_{V(c)}(q) = \sum_{n=0}^{\infty} \dim V(c)_n q^n = \prod_{m=2}^{\infty} \frac{1}{1-q^m}$$

= 1 + q^2 + q^3 + 2q^4 + 2q^5 + 4q^6 + 4q^7 + 7q^8 + 8q^9 + 12q^{10} \cdots

Minimal Models: certain special values

$$c = c_{p,p'} = 1 - 6 \frac{(p - p')^2}{pp'}, \quad p, p' \ge 2, \quad \gcd(p, p') = 1,$$

at which a singular vector $\sigma_{p,p'}$ appears in degree s=(p-1)(p'-1).



Singular vector generates the maximal nontrivial submodule. Consider quotient \mathcal{L} -module

$$\operatorname{Vir}_{p,p'} = V(c)/U(\mathcal{L})\sigma_{p,p'}.$$

The character of $Vir_{p,p'}$ (determined by Feigin and Fuchs) is very interesting:

$$\chi_{\mathsf{Vir}_{p,p'}}(q) = \frac{1}{\prod_{n=1}^{\infty} (1-q^n)} \sum_{m \in \mathbb{Z}} \left(q^{\frac{(2pp'm+p-p')^2 - (p-p')^2}{4pp'}} - q^{\frac{(2pp'm+p+p')^2 - (p-p')^2}{4pp'}} \right)$$

Example:

$$\chi_{\mathsf{Vir}_{2,7}}(q) = 1 + q^2 + q^3 + 2q^4 + 2q^5 + 3q^6 + 3q^7 + 5q^8 + 6q^9 + 8q^{10} + \cdots$$

Classical limits.

In classical physics observables commute with each other.

In quantum theory, famously, this is not so.

Already in our case we see, for example

$$L_{-2}L_{-3}v = (L_{-3}L_{-2} + (L_{-2}L_{-3} - L_{-3}L_{-2}))v$$

= $(L_{-3}L_{-2} + [L_{-2}, L_{-3}])v$
= $L_{-3}L_{-2}v + L_{-5}v$.

So the operators L_{-2} and L_{-3} do not commute!

In classical physics we would expect

$$L_{-2}L_{-3}v \equiv L_{-3}L_{-2}v$$

Note that the difference between the two sides is a shorter monomial. This holds in general.

A paradigm for classical limits.

The vector space V = V(c) carries a filtration:

$$G_0 V \subset G_1 V \subset G_2 V \subset \ldots$$

where

$$G_p V = \langle L_\lambda v \mid \lambda = (\lambda_1, \ldots, \lambda_k), \ k \leq p \rangle.$$

Now

$$L_{-2}L_{-3}v$$
 and $L_{-3}L_{-2}v$ lie in G_2V

and

$$L_{-2}L_{-3}v \equiv L_{-3}L_{-2}v \pmod{G_1V}$$

Associated graded of a filtered vector space

$$\operatorname{gr}_{G} V = rac{V_{1}}{V_{0}} \oplus rac{V_{2}}{V_{1}} \oplus rac{V_{3}}{V_{2}} \oplus \cdots$$

Then $\operatorname{gr}_G V$ is naturally a commutative algebra. (Product is union of partitions) And A carries a derivation (Induced by $L_{-1}: V \to V$).

Let $x = [L_{-2}v] \in A$. Denote by $A^0 \subset A$ the subalgebra generated by x. Then $x' = [L_{-3}]$, $x'' = 2[L_{-4}]$, etc.

So A is generated as a differential algebra by A^0 .

Associated graded of $V = Vir_{2,7}$:

gr ^p _G V _n	0	1	2	3	4	5	6	р
0	1	{}						
1								
2		(2) 1						
3		(3) 1		(2.2)				
4		(4) 1	1	(2,2) (3,2)				
5		1	1	(3,2)				
6		1	2					
7		1	2					
n 8		1	3	1	(4,2,2)			
9		1	3	2				
10		1	4	3				
11		1	4	4				
12		1	5	6	1			
13		1	5	7	1			
14		1	6	9	3			
15		1	6	11	1 4			
16		1	7	13	37			
17		1	7	15	59			
18		1	8	18	3 13	6 1		

For $V = \text{Vir}_{2,7}$ we have $A^0 \cong \mathbb{C}[x]/(x^3)$.

This is because

$$\sigma_{2,7} = L_{-2}L_{-2}L_{-2}v + \alpha_1L_{-3}L_{-3} + \alpha_2L_{-4}L_{-2} + \alpha_3L_{-6}$$

and so in the associated graded

$$[\sigma_{2,7}] \equiv [L_{-2}L_{-2}L_{-2}] = x^3.$$

Comparing A with $J(A^0)$

In general there is a surjection

$$\pi: J(A^0) \to A.$$

In particular

$$\pi: J(\mathbb{C}[x]/(x^3)) \to \operatorname{gr}_{G}\operatorname{Vir}_{2,7}.$$

Definition

We say V (and generally any vertex algebra V) is *classically free* if the surjection

$$\pi: J(A^0) \to A$$

is an isomorphism.

Proposition

The (2, 2s + 1) minimal models Vir_{2,2s+1} are classically free.

All other (p, q) minimal models are not classically free. However...

Proposition

The (3,4) minimal model is as "close" to classically free as possible.

Let
$$s = (p-1)(q-1)/2$$
.

Idea: compare the graded dimensions of

$$J(\mathbb{C}[x]/(x^s))$$
 and $\operatorname{gr}_{G}\operatorname{Vir}_{p,q}$.

For example for (p, q) = (2, 5) this is the Rogers-Ramanujan identity:

$$\sum_{k\in\mathbb{Z}_+}rac{q^{k^2+k}}{(q)_k}=rac{1}{(q)_\infty}\sum_{m\in\mathbb{Z}}\left(q^{rac{(20m+3)^2-9}{40}}-q^{rac{(20m+7)^2-9}{40}}
ight).$$

Notation:

$$(q)_{\infty} = \prod_{m=1}^{\infty} (1-q^m), \quad ext{and} \quad (q)_n = \prod_{m=1}^n (1-q^m).$$

The Ising model: (p, p') = (3, 4). Central charge c = 1/2. Singular vector in V(1/2):

$$\sigma_{3,4} = L_{-2}^3 + \frac{93}{64}L_{-3}^2 - \frac{27}{16}L_{-6} - \frac{33}{8}L_{-4}L_{-2}$$

So

$$\pi: J(\mathbb{C}[x]/(x^3)) \twoheadrightarrow \operatorname{grVir}_{3,4}.$$

Compare

$$\chi_{J(\mathbb{C}[x]/(x^3))}(q) = 1 + q^2 + q^3 + 2q^4 + 2q^5 + 3q^6 + 3q^7 + 5q^8 + 6q^9 + 8q^{10} + 9q^{11} + \cdots$$

$$\chi_{\text{Vir}_{3,4}}(q) = 1 + q^2 + q^3 + 2q^4 + 2q^5 + 3q^6 + 3q^7 + 5q^8 + 5q^9 + 7q^{10} + 8q^{11} + \cdots$$

Hence ker π contains a 1-dimensional component in degree 9.

By computer calculation we find that this vector is

$$b = L_{-5}L_{-2}L_{-2} + 6L_{-4}L_{-3}L_{-2}.$$

Write I = J(a, b) the ideal in

$$J\mathbb{C}[x] = \mathbb{C}[L_{-2}, L_{-3}, L_{-4}, \ldots]$$

generated by $a = L_{-2}^3$, b and all their derivatives. Now have

 $\pi': J\mathbb{C}[x]/I \twoheadrightarrow \mathsf{gr}\operatorname{Vir}_{3,4}.$

Theorem (Andrews, vE, Heluani, 2020) The morphism π' is an isomorphism.

Proof follows from

Theorem (Andrews, vE, Heluani, 2020)

$$\begin{split} &\sum_{k_1,k_2=0}^{\infty} \frac{q^{4k_1^2+3k_1k_2+k_2^2}}{(q)_{k_1}(q)_{k_2}} \left(1-q^{k_1}+q^{k_1+k_2}\right) \\ &= \frac{1}{(q)_{\infty}} \sum_{m \in \mathbb{Z}} \left(q^{\frac{(24m+1)^2-1}{48}}-q^{\frac{(24m+7)^2-1}{48}}\right). \end{split}$$