



The University
of Sydney

The fundamental theorems of invariant theory classical, quantum and super

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Introduction



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Thus the discriminant $\Delta = b^2 - ac$ is an invariant of quadratic forms under transformations by SL_2 . (c.1000)

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- Describe $(T^r(V^*) \otimes T^s(V))^G$ (generators and relations-Linear).
- For a KG -module M (K a commutative ring), describe $K[M]^G$ (generators and relations). (Commutative algebra)

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Quantum group analogues of the above questions, with applications to representation theory, math. physics, unitarisability,...

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- ▶ sketch how to apply these ideas to super-algebras (\mathbb{Z}_2 -graded algebras), using \mathbb{Z}_2 -graded algebraic geometry, and to quantum groups.

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Then $A \subseteq Z_E(B)$ and $B \subseteq Z_E(A)$.

Now A is evidently semisimple, so (by double centraliser theory) $A = Z_E(B)$ if and only if $B = Z_E(A)$, and the former statement is the FFT.



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and so $Z_E(A) = T^r(U)^{\text{Sym}_r}$, where $U = \text{End}_{\mathbb{C}}(V)$.

Applying the Lemma with $U = \text{End}_{\mathbb{C}}(V)$ and $U^0 = \text{GL}(V)$, we see that $Z_E(A)$ is spanned by the elements $g \otimes g \otimes \dots \otimes g$ ($g \in \text{End}_{\mathbb{C}}(V)$), which proves the FFT. \square

The second fundamental theorem for $GL(V)$



We have a finite set of generators for $\text{End}_{GL(V)}(T^r(V))$, namely the permutations $\pi \in \text{Sym}_r$. The SFT asks for all relations among these; thus the question is: **what is**

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Theorem

SFT: If $r \leq m$, $\ker(\omega_r) = 0$. If $r \geq m+1$, $\ker(\omega_r)$ is the ideal of $\mathbb{C}\text{Sym}_r$ generated by e_{m+1}^- .

Sketch of a proof of the SFT



Let $N = \ker(\omega_r)$. We have $\mathbb{C}\text{Sym}_r = \bigoplus_{\lambda} I(\lambda)$, where λ runs over all partitions of r , and $I(\lambda)$ is a minimal 2-sided ideal.

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First inequality: done; **second:** show explicitly that if λ has at most m rows, then $\omega_r(I(\lambda)) \neq 0$. By Frobenius' theory, one knows an explicit idempotent $e(\lambda)$ which generates $I(\lambda)$; one constructs an element $\mathbf{v} \in T^r(V)$ such that $e(\lambda)\mathbf{v} \neq 0$.

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Let $N = \ker(\omega_r)$. We have $\mathbb{C}\text{Sym}_r = \bigoplus_{\lambda} I(\lambda)$, where λ runs over all partitions of r , and $I(\lambda)$ is a minimal 2-sided ideal.

So N is a sum of certain $I(\lambda)$. Each λ corresponds to a Young diagram, which has rows (corresponding to the parts of λ) and columns.

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This shows that $N = (e_{m+1}^-)$, which is the SFT. \square

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The SFT may be formulated in these contexts as well, but we leave that until we have the language of diagrams.

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$$\eta_r(\pi) = \begin{cases} \omega_r(\pi) & \text{if } G = O(V) (\text{symmetric case}) \\ \varepsilon(\pi)\omega_r(\pi) & \text{if } G = \mathrm{Sp}(V) (\text{symplectic case}) \end{cases}.$$

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we have $e^2 = \varepsilon m e$, where $\varepsilon = \begin{cases} 1 & \text{in the orthogonal case} \\ -1 & \text{in the symplectic case.} \end{cases}$



Classical group invariants (contd)

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Note that $-\mathrm{id}_V \in G$; since $-\mathrm{id}_V$ acts as $(-1)^r$ on $T^r(V)$, it follows that $\mathrm{Hom}_G(T^r(V), T^s(V)) \neq 0 \implies r \equiv s \pmod{2}$.



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We've seen that $s_i^2 = 1$ and $e_i^2 = \varepsilon m e_i$ ($m = \dim V$). Also, the s_i satisfy the familiar braid relations (e.g. $s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1}$), and we have $s_i e_i = e_i s_i = e_i$

Classical group invariants (cont). The Brauer category



Less obvious relations: $e_i s_{i\pm 1} e_i = e_i$, $e_i e_{i\pm 1} e_i = e_i$,
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(FFT) Let $G = O(V)$ or $Sp(V)$. Then $\text{End}_G(T^r(V))$ is generated by $\eta(\text{Sym}_r)$ and the e_i .

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Brauer diagrams and the Brauer category

We next show how to interpret these endomorphisms as diagrams, which are morphisms in the Brauer category.

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Example: a diagram from 6 to 4:



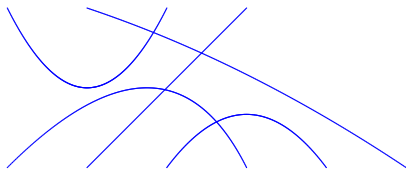
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and for diagrams D_1, D_2 , $\boxed{D_1} \otimes \boxed{D_2} = \boxed{D_1 D_2}$ (juxtaposition)



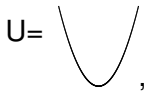
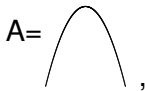
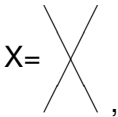
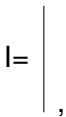
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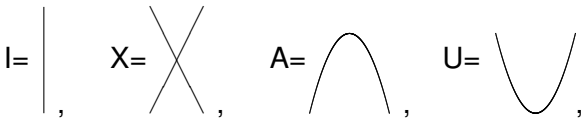
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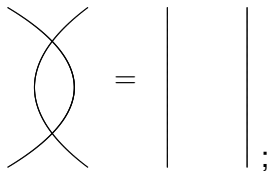


subject to a set of 7 relations, the most substantial of which can be depicted as follows.

Relations in the Brauer category



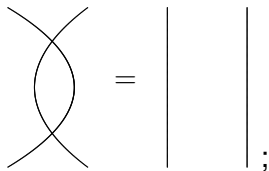
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Double crossing

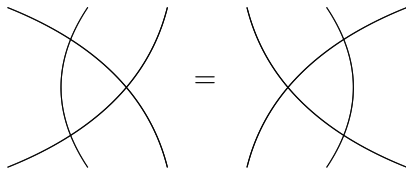
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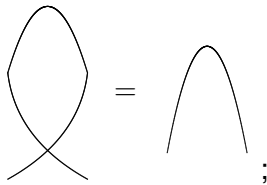
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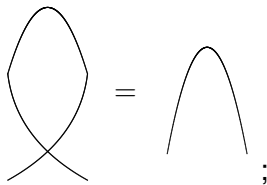


Braid relation

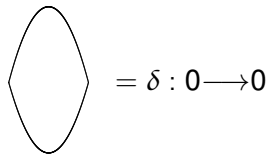
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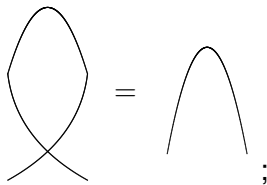
De-looping



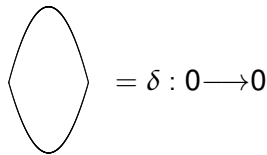
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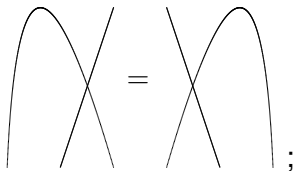
Loop Removal



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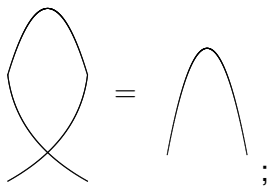


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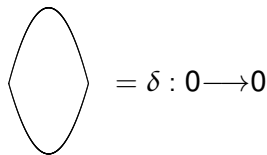


Sliding

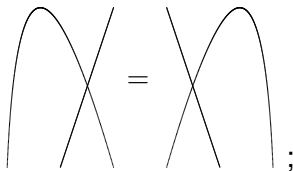
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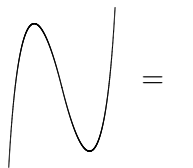
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Straightening

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Important fact (due to Rui & Si, using cellular structure): The Brauer algebra $\mathcal{B}_r(\mathbb{C}, z)$ is non-semisimple only if $z \in \mathbb{Z}$. If $z \in \mathbb{Z}_{\geq 0}$, the algebras $\mathcal{B}_r(z)$ and $\mathcal{B}_r(-2z)$ are semisimple $\iff r \leq z+1$.

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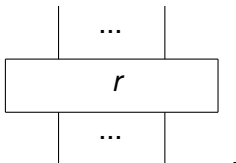
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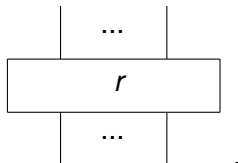
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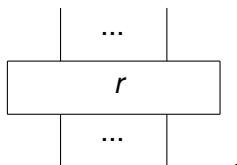
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Since $X_i U_i = U_i$ and $A_i X_i = A_i$, it follows that **if $\varepsilon = 1$** , then for $i < r$, $A_i \Sigma_\varepsilon(r) = A_i X_i \Sigma_\varepsilon(r) = \Sigma_\varepsilon(r) U_i = \Sigma_\varepsilon(r) X_i U_i = 0$.



An example of a relation: for all positive integers r, k with $0 \leq k \leq \frac{r}{2}$, we have:

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Statements of the fundamental theorems.



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(FFT, Brauer, 1937) The functor F is full. This means simply that the induced maps $\mathcal{B}_r^s \longrightarrow \text{Hom}_G(T^r(V), T^s(V))$ are surjective for all r, s .

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Theorem (SFT L-Zhang, 2012-13) Let $d = m$ if $G = O(V)$, and $d = \frac{m}{2}$ if $G = \text{Sp}(V)$. The kernel of the map η_r is zero if $r \leq d$. If $r \geq d + 1$ the kernel is generated by a single idempotent in $\mathcal{B}_{d+1}(\varepsilon m)$ which is explicitly described in terms of diagrams as follows.

Generating idempotent: the symplectic case.

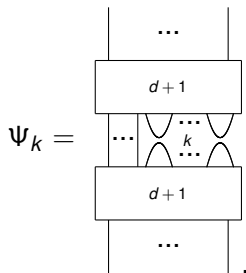


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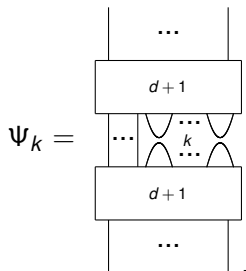
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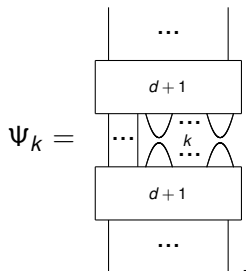


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Now define $\Phi \in \mathcal{B}_{d+1}(-2d)$ by

$$\Phi = \sum_{k=0}^{\left\lfloor \frac{n+1}{2} \right\rfloor} a_k \psi_k \quad \text{where} \quad a_k = \frac{1}{(2^k k!)^2 (n+1-2k)!}. \quad (1)$$

SFT-symplectic case



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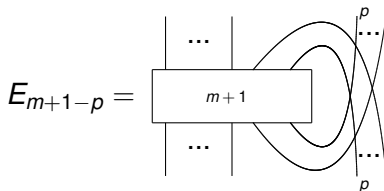
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We will next sketch an independent proof, which essentially reduces the problem to the case of $GL(V)$.

Algebraic geometric proof of the FFT and SFT for classical groups



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Write $\mathbb{C}[Y]$ for the coordinate ring (ring of polynomial functions) of an affine variety Y over \mathbb{C} . Note that $\mathbb{C}[\mathbb{E}]$ and $\mathbb{C}[\mathbb{E}^{+}]$ are graded algebras.

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We now show how to prove the FFT, given the main lemma.

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- If W is a complex vector space, define $S'_\varepsilon(W) = \{\mathbf{w} \in T^r(W) \mid \omega_r(\pi)\mathbf{w} = (\varepsilon)^{\ell(\pi)}\mathbf{w} \text{ for all } \pi \in \mathrm{Sym}_r\},$

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- So $\mathbb{E} = \mathbb{E}^+ \otimes \mathbb{E}^-$ is a canonical $\mathrm{GL}(V)$ -equivariant decomposition.

Proof of FFT for classical (later super) G



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Theorem: $(T^r(V)^*)^G = \begin{cases} 0 & \text{if } r \text{ is odd} \\ \text{Span}\{\kappa_\pi \mid \pi \in \text{Sym}_{2d} & \text{if } r = 2d, \end{cases}$

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For $g \in G$, by invariance of L , $\psi_L(gA, \mathbf{v}) = \psi_L(A, \mathbf{v})$, so we may apply the Main Lemma:



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and since the elements $\omega(X)$ are Zariski-dense in \mathbb{E}^+ (*),
we have $F_L(g \cdot A, g\mathbf{v}) = F_L(A, \mathbf{v})$, for $A \in \mathbb{E}$ and $\mathbf{v} \in T^{2d}(V)$.



Proof of FFT (ctd).

There is a function $F_L \in \mathbb{C}^d[\mathbb{E}^+] \otimes T^r(V)^*$ such that $\psi_L(A, \mathbf{v}) = F_L(A^\dagger A, \mathbf{v}) = F_L(\omega(A), \mathbf{v})$

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(*) This is because: (i) $\omega : \mathbb{E} \rightarrow \mathbb{E}^+$ has generic fibre G ; (ii) The Cayley transform $C : A \mapsto (1 - A)(1 + A)^{-1}$ defines a birational equivalence between \mathbb{E}^- and G , and (iii) so $\dim(\mathbb{E}^+) = \dim(\mathbb{E}) - \dim(\mathbb{E}^-) = \dim(\mathbb{E}) - \dim(G) = \dim(\omega(\mathbb{E}))$.



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From $L \in (T^{2d}(V)^*)^G$, we have constructed

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INJECTIVE MAP $h : ((T^{2d}(V))^*)^G \rightarrow ((T^d(\mathbb{E}) \otimes T^{2d}(V))^*)^{\text{GL}(V)}$.

Completion of proof of FFT.



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Next, we show how the injective map

$h : ((T^{2d}(V))^*)^G \rightarrow ((T^{2d}(V^*) \otimes T^{2d}(V))^*)^{GL(V)}$ may be used to prove the SFT for classical (and orthosymplectic super-)groups.

Diagrammatic formulation of the SFT

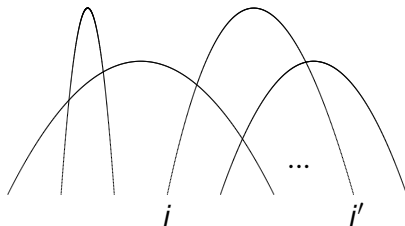


Diagrams $D \in \mathcal{B}_{2d}^0$ may be identified with partitionings of $\{1, 2, \dots, 2d\}$ into pairs (i, i') as shown:

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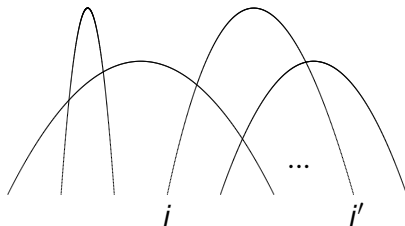
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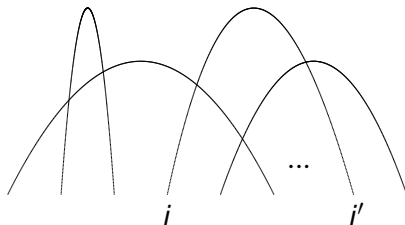


FFT (just proved): There is a surjective map $\kappa : \mathcal{B}_0^{2d} \longrightarrow ((T^{2d}(V))^*)^G$, given by $D \mapsto \kappa_D$, where

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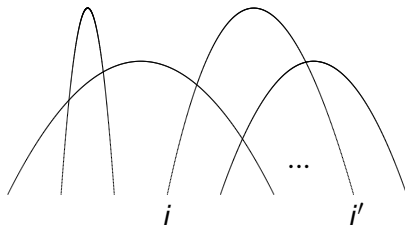


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The SFT identifies $\ker(\kappa)$.

Geometric proof of the SFT

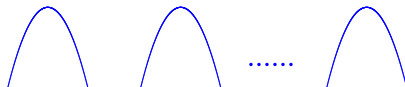


Sym_{2r} acts transitively on the set of diagrams in \mathcal{B}_{2d}^0 (by right multiplication). Let D_0 be the diagram

Geometric proof of the SFT



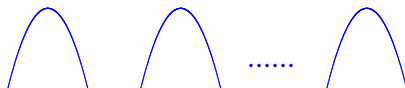
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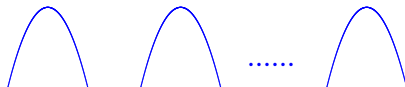


Its stabiliser C in Sym_{2d} is the centraliser of the involution $(1, 2)(3, 4) \dots (2d - 1, 2d)$, a group of order $2^d d!$.

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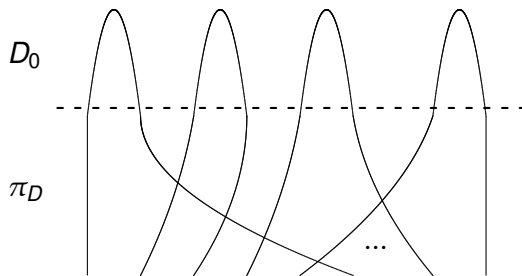
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Every diagram $D \in \mathcal{B}_{2d}^0$ may be expressed $D = D_0 \pi_D$ with $\pi_D \in \text{Sym}_{2d}$ unique up to premultiplication by an element $c \in C$, as shown below.

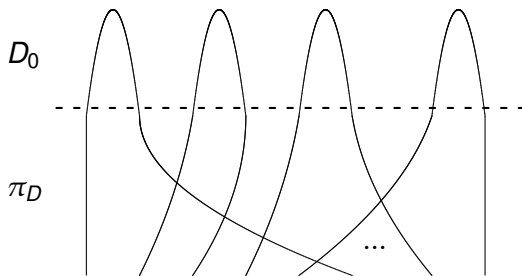
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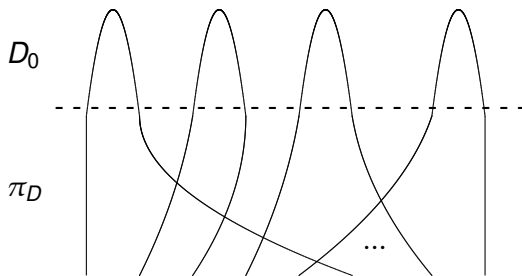
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Now in addition to $\kappa : \mathcal{B}_{2d}^0 \longrightarrow ((T^{2d}(V))^*)^G$, we also have

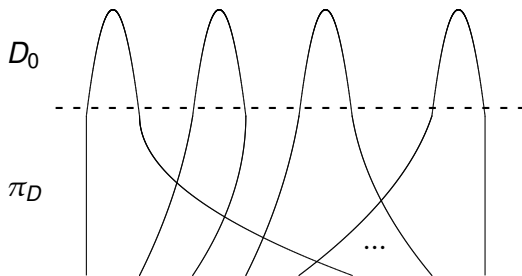
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- ▶ The map $\chi : \mathcal{B}_{2d}^0 \rightarrow \mathcal{B}_{2d}^{2d}$ is given by $D \mapsto e(C)\pi_D$, where π_D is such that $D = D_0\pi_D$ and $e(C) = |C|^{-1} \sum_{c \in C} c$.



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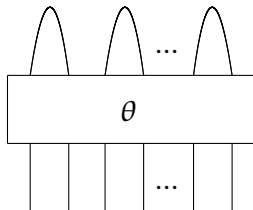
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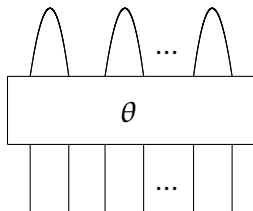
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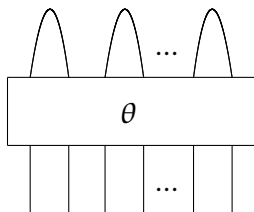
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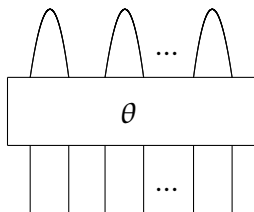
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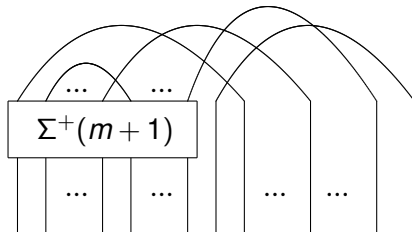
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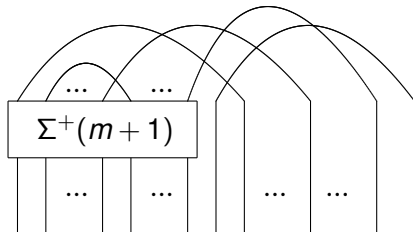
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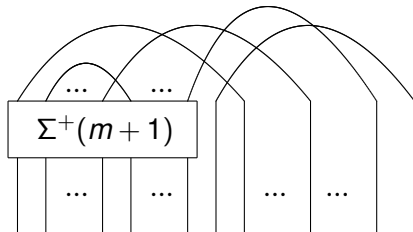


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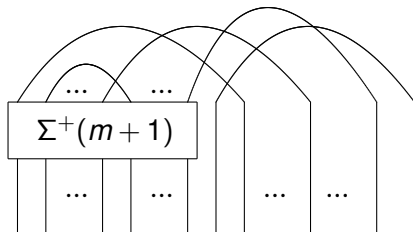
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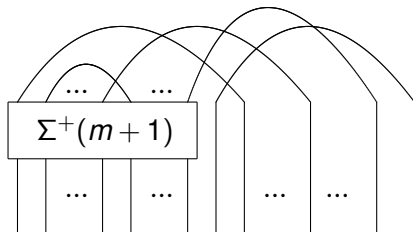
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Step 7: By the irreducibility of \mathbb{E} , $f - F \circ \omega$ is zero on all of \mathbb{E} . \square

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• If $\text{sdim}(V) = (m|n)$ the **general linear Lie superalgebra** $\mathfrak{gl}(V) = \mathfrak{gl}(m|n)$ is the \mathbb{Z}_2 -graded Lie algebra $\text{End}_{\mathbb{C}}(V)$, with Lie product $[X, Y] = XY - (-1)^{[X][Y]} YX$.



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This leads to a functor F from $\mathcal{B}(m-2n)$ to $\mathrm{Rep}(\mathfrak{osp}(m|2n), G)$; so the Brauer algebra $B_r(m-2n)$ acts on $V^{\otimes r}$. This action commutes with that of \mathfrak{osp} and of G .

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NOTE: the image of η_r is almost always non-semisimple,

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Def: $\text{GL}(V) := \{g \in \text{End}_{\Lambda}(V)_{\bar{0}} \mid g \text{ is invertible}\}$.

The orthosymplectic supergroup-proof of the FFT and SFT



If $V_{\mathbb{C}}$ has an even non-degenerate form $(-, -)_{\mathbb{C}}$, then $\ell = 2n$ and $(-, -)_{\mathbb{C}}$ extends uniquely to $(-, -)$ on $V = V_{\mathbb{C}} \otimes_{\mathbb{C}} \Lambda$.

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- One develops a theory of affine varieties over Λ , and proves the (geometric) Main Lemma in this context. \square

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There is a universal R -matrix $R \in \widetilde{(U_q \otimes U_q)}$ such that

- (i) For $u \in U_q(\mathfrak{g})$, $R\Delta(u)R^{-1} = \Delta'(u)$, and
- (ii) We have $R_{12}R_{13}R_{23} = R_{23}R_{13}R_{12} \in U_q(\mathfrak{g})^{\otimes 3}$ (Y-B equation).

Quantum groups and the braid group action



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It follows that (i) If $\check{R} := PR$, where $P(v \otimes w) = w \otimes v$ then $\check{R} \in \text{Hom}_{U_q}(V_q \otimes W_q, W_q \otimes V_q)$ for modules $V_q, W_q \in \mathcal{C}_q$.

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In certain cases we can understand the action of \check{R} on $V \otimes V$ 'generically'.

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Therefore (by Schur's Lemma) C acts on the simple module L_λ as a scalar, and that scalar is given by $\chi_\lambda(C) = (\lambda + 2\rho, \lambda)$, where ρ = half-sum of the positive roots, and $(-, -)$ is a W -invariant form on \mathfrak{h}^* , normalised so that $(\alpha, \alpha) = 2$ for short roots.



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Assume $L_{\lambda, q}^{\otimes 2} = L_{\mu_1, q} \oplus \cdots \oplus L_{\mu_s, q}$, where the μ_i are distinct weights. Then \check{R} acts on $L_{\mu_i, q}$ as the scalar

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where $\varepsilon(i)$ is the sign by which the simple interchange acts on L_{μ_i} in the classical limit.

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So $\dim_{\mathbb{C}}(\text{End}_U(T^r(V))) = \dim_{\mathbb{C}}(\text{Im}(\omega_r)) \leq \dim_K(\text{Im}(\nu_r)) \leq \dim_K(\text{End}_{U_q}(T^r(V_q)))$, and equality pertains. \square

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For any r , let $e_q^-(r) = \sum_{w \in \text{Sym}_r} (-q)^{-\ell(w)} T_w \in H_r(q)$ (The $H_r(q)$ -alternator). This is the ' q -analogue' of the alternator e_r^- .

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Now let's look at the braid group action on the RHS:

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Let y, z be indeterminates over \mathbb{C} and write $\mathcal{A} = \mathbb{C}[y^{\pm 1}, z]$. The BMW algebra $BMW_r(y, z)$ over \mathcal{A} is the associative \mathcal{A} -algebra with generators $g_1^{\pm 1}, \dots, g_{r-1}^{\pm 1}$ and e_1, \dots, e_{r-1} , subject to the following relations:

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The braid relations for the g_i :

$$g_i g_j = g_j g_i \text{ if } |i - j| \geq 2$$

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$$g_i e_i = e_i g_i = y e_i;$$

$$e_i g_{i-1}^{\pm 1} e_i = y^{\mp 1} e_i;$$

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Then: $(g_i - y)(g_i^2 - z g_i - 1) = 0$ (cubic!!)

BMW and invariants



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One uses an integrality argument, as well as the FFT for the classical limit ($\lim_{q \rightarrow 1}$), to prove the result.

Quantum SFT and further analysis-cellular algebras



To compare the quantum and classical cases of $O(V)$ and $Sp(V)$, it is convenient to use the notion of a cellular algebra. These are algebras which are generically semisimple, but which have non-semisimple specialisations.

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- ▶ In general $L(\lambda)$ is simple or is zero. The set of non-zero $L(\lambda)$ forms a complete set of non-isomorphic A -modules.

Application to the quantum SFT



We work over the ring $\mathbb{C}[q]_1$, the localisation of $\mathbb{C}[q]$ at $(q - 1)$, and define $\psi_1 : \mathbb{C}[q]_1 \rightarrow \mathbb{C}$ by $\psi_1(q) = 1$.

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3. For each $\lambda \in \Lambda$, denote the cell module of $BMW_{r,q}(\epsilon m)$ by $W_q(\lambda)$ and that of $B_r(\epsilon m)$ by $W(\lambda)$. Then $W(\lambda) = \lim_{q \rightarrow 1} W_q(\lambda) (= \mathbb{C} \otimes_{\psi_1} W_q(\lambda))$, and

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Application to the quantum SFT



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Proof of the quantum SFT



Suppose Φ is an idempotent in $B_r(\epsilon m)$ such that the ideal $\langle \Phi \rangle$ is equal to $\ker(\nu_r : B_r(\epsilon m) \rightarrow \text{End}_G(V^{\otimes r}))$.

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In the symplectic case, there is a canonical choice. In the orthogonal case an explicit formula is known only for the case $m = 3$. (It is complicated!)

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$$a = 1 + (1 - q^{-2})^2,$$

$$b = 1 + (1 - q^2)^2 + (1 - q^{-2})^2,$$

where

$$c = \frac{1 + (2 + q^{-2})(1 - q^{-2})^2 + (1 + q^2)(1 - q^{-2})^4}{([3]_q - 1)^2},$$

$$d = (q - q^{-1})^2 = q^2(a - 1).$$

Positive characteristic and roots of unity



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Tilting modules for quantum $\mathfrak{gl}_n, \mathfrak{o}_m, \mathfrak{sp}_{2d}$ (i.e. indecomposable summands of $T^r(V)$) at roots of unity may be analysed using the above results/methods (e.g. Andersen-L-Zhang, to appear, Pacific J M.)

Unsolved problems—directions for future research.



Determine, in the orthosymplectic case, the smallest r for which the homomorphism $B_r(m - 2n) \rightarrow T^r(V)$ has a non-trivial kernel. (We know $2r \geq (m + 1)(2n + 1)$ is necessary).

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For which pairs \mathfrak{g}, V do we have $\mathcal{AB}_r \rightarrow \text{End}_{U_{\mathcal{A}}(\mathfrak{g})}(V^{\otimes r})$ surjective? And for which subrings \mathcal{A} of $K = \mathbb{C}(q)$?

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When does the above map factor through a cellular algebra? (cf. ALZ)

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