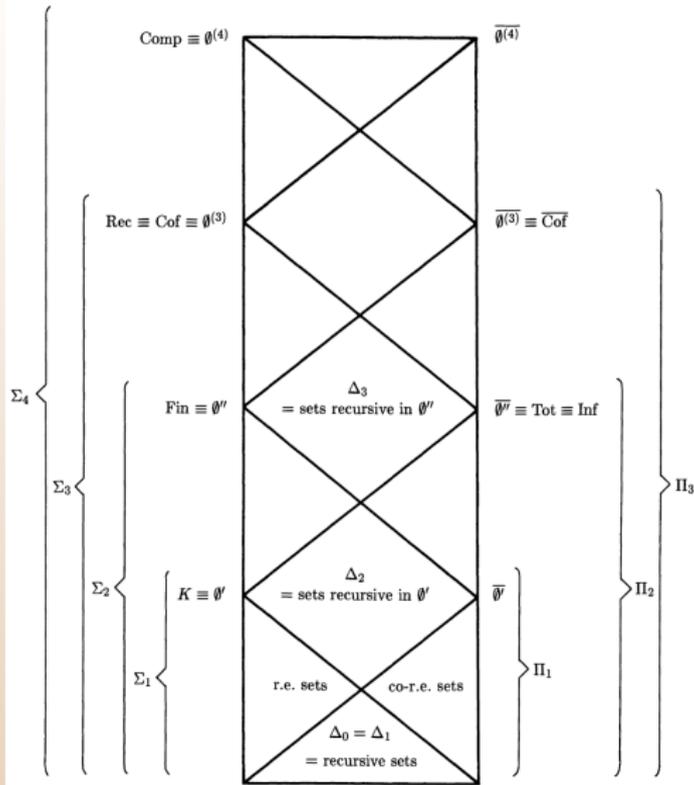
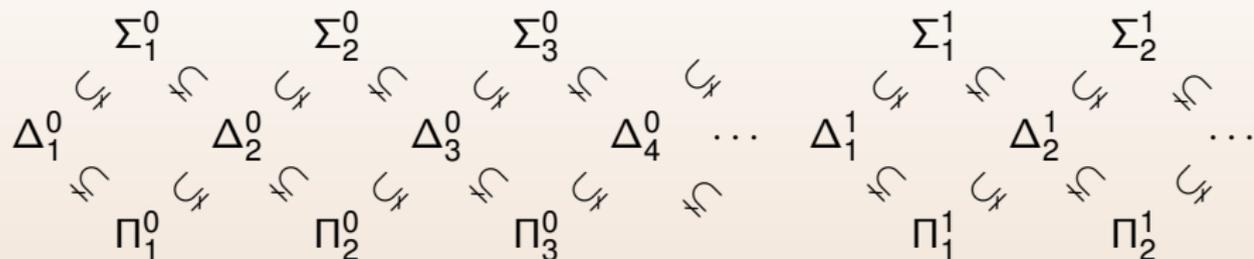


Computability and classification problems, 2.



Set-quantifiers



- Σ_1^1 -sets are those that can be expressed using one existential functional quantifier:

$$x \in X \iff (\exists f : \mathbb{N} \rightarrow \mathbb{N}) (\forall n) R(f, x, n),$$

where R is a computable relation that uses f as an oracle.

- Π_1^1 -sets are the complements of the Σ_1^1 -sets:

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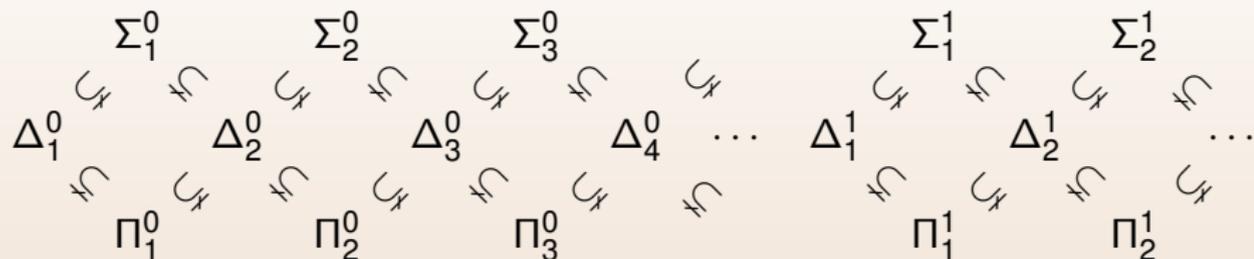
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Definition

A set $S \in \Sigma_m^n$ is complete in its class Σ_m^n if for every $X \in \Sigma_m^n$,

$$X \leq_1 S,$$

that is, there is a 1-1 total computable function f such that

$$x \in X \iff f(x) \in S.$$

(1-1 can be omitted).

This is similar to NP-completeness in complexity theory.

The crucial difference is that we **know** that the hierarchy does not collapse.

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We know that $0^{(n)}$ is Σ_n^0 -complete. What are the most natural Σ_1^1 -complete and Π_1^1 -complete sets?

To define these sets we need to define the notion of a **computable algebraic structure**.

Definition

A **computable presentation** of a countably infinite algebraic structure A is an algebraic structure B such that:

- the set of elements of B is a computable subset of \mathbb{N} ,
- the relations and operations of B are computable.

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Example

Examples of computably presentable algebraic structures:

- $(\mathbb{N}, +, \times, 0, 1)$ – the semiring of natural numbers.
- The field of algebraic numbers $\overline{\mathbb{Q}}$.
- Any polynomial ring over a countable field you can find in a textbook.
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Recall that a (strict) linear order is a binary structure $(X, <)$ that satisfies:

- 1 $\forall x, y, z \quad x < y \text{ and } y < z \implies x < z;$
- 2 for all $x \neq y$, either $x < y$ or $y < x$;
- 3 $x \not< x$.

A linear order $(X, <)$ is **well-ordered** or **well-founded** if it does **not** contain infinite ascending chains:

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Using the Universal Turing Machine, we can produce a list of **all partially computable** structures:

$$R_0, R_1, R_2, \dots$$

Theorem (Kleene, Spector)

The **index set** of well-orders

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is Π_1^1 complete.

It also follows that

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- 2 Fix $X \in \Pi_1^1$, so $x \in X \iff (\forall f : \mathbb{N} \rightarrow \mathbb{N}) (\exists n) R(f, x, n)$.
- 3 For a given x , (computably) enumerate the tree T_x of all finite strings σ of natural numbers such that $(\exists n < \text{length}(\sigma)) R(\sigma, x, n)$.
- 4 T_x has no infinite branches if, and only if, $x \in X$.
- 5 Define the Kleene–Brouwer order $KB(T_x)$ on strings in T_x : $t <_{KB} s$ when there is an n such that either:
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One cool property of the Kleene-Spector theorem is that it can be **relativized to any oracle**.

Fix an arbitrary oracle Y .

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A set X is $\Pi_1^1(Y)$ if:

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Produce a list of **all structures partially computable relative to Y** :

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Theorem (Kleene-Spector relativised)

The **index set** of well-orders

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Proof:

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is **computable** from x , and not merely computable relative to Y .

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There are however plenty of examples when a property is actually simpler than its definition suggests.

Example

Recall that the *free abelian group* A_α of rank $\alpha \in \mathbb{N} \cup \{\omega\}$ is

$$\bigoplus_{i \leq \alpha} \mathbb{Z}.$$

A countable group G is free iff, for some α , $G \cong A_\alpha$:

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But we understand these groups really quite well, so there should be a better “local” way to test whether G is free abelian.

Fact

The index set of free abelian groups is arithmetical (Π_2^0).

Proof idea.

- To get the upper bound of Π_2^0 , try to build a free basis of G .
- Use Pontryagin's criterion:

a_1, \dots, a_n are freely independent \iff

$$(\forall m, m_i \in \mathbb{Z}) [(\exists x \in G)(mx = \sum_i m_i a_i) \implies \&_i m | m_i].$$

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The index set of free abelian groups is arithmetical (Π_2^0).

Proof idea.

- To get the upper bound of Π_2^0 , try to build a free basis of G .
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$$(\forall m, m_j \in \mathbb{Z}) [(\exists x \in G)(mx = \sum_i m_j a_j) \implies \&_j m | m_j].$$

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Part 2: Applications to classification problems

One of the central problems of abelian group theory:

Problem

Determine whether a given abelian group G splits into the direct sum of its proper subgroups:

$$G \cong A \oplus B.$$

When you read Fuchs you realise that a *local* property would be most desirable.

Example

An additive abelian group $(D, +)$ is **divisible** if, for every $k \in \mathbb{N}$ and each $a \in A$,

$$(\exists b \in A) kb = b + b + \dots (n \text{ times}) \dots + b = a.$$

For example, the group of the rationals $(\mathbb{Q}, +)$ is like that. Note that this is a “local” property. So, if G is not divisible and D is a divisible subgroup of G , then $G = D \oplus X$ (both non-trivial).

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Theorem (Riggs 2017)

The index set of directly indecomposable groups Π_1^1 -complete.

Proof.

Design a computable transformation which, given a computable tree T , produces a torsion-free abelian group with the property:

T has an infinite branch $\iff G(T)$ non-trivially directly splits.

This was inspired by an earlier result of Downey and Montalbán,

- who were using an earlier work of Hjorth,
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- 1 As before, the result of Riggs is fully relativizable.
- 2 It follows that the property of (in)decomposability is **intrinsically global/second-order**.
- 3 So it follows that there is no local characterisation of being decomposable.
- 4 If you are an abelian group theorist trying to find such a characterisation **STOP NOW**.
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Here is another property which is also naturally global (not first-order):

Definition (Baer)

A group G is **completely decomposable** if it splits into the direct sum

$$G \cong \bigoplus_i H_i,$$

where each of the H_i is a subgroup of the additive group of the rationals $(\mathbb{Q}, +)$.

This does not look like a local property at all.

We need to ask if there exist subsets H_i of G s.t. $G \cong \bigoplus_i H_i$.

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Theorem (Downey and Melnikov, 2014)

The index set of completely indecomposable groups Σ_7^0 .

Proof idea.

- 1 Design a new **independence property** inspired by Nielsen transformations and Pontryagin's (abelian) freeness criterion.
- 2 Attempt to build a "decomposition basis" of a given G .
- 3 Simultaneously, use some specific combinatorics to arithmetically list all isomorphism types of computable completely decomposable groups:

$$C_0, C_1, \dots$$

- 4 Use the basis to show that the property $(\exists i)G \cong C_i$ is indeed arithmetical (Σ_7^0) and not merely Σ_1^1 .



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- 2 So complete decomposability is a local property.
- 3 There is a local independence property describing such groups similar to how Nielsen transformations (in a way) describe free groups.
- 4 For example, if $G \cong \bigoplus_i H_i$ where all the H_i are isomorphic, then there is a set of primes S so that independence looks as follows:

$$g_1, \dots, g_k \text{ are } S\text{-independent} \iff (\forall p \in S)(\forall m_1, \dots, m_k \in \mathbb{Z}) [p \mid \sum_i m_i g_i \implies \&_{i \leq k} m_i \mid k].$$

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This approach works for **separable** structures as well – we omit the details.

Some results by various authors, including Spector, Knight, Goncharov, Downey, McNicholl, M., Turetsky, Nies, Solecki, McCoy, and many others:

Characterisation Problem	Complexity
Well-foundedness of a linear order	Π_1^1 -comp
Atomicity of a Boolean algebra	Π_1^1 -comp.
Direct decomposability of a group	Σ_1^1 -comp.
Complete decomposability of a group	Σ_7^0
Freeness of a group	Π_4^0 -comp.
Being a separable Lebesgue space	Π_3^0
Being a representation of $C[0, 1]$	Π_5^0
Being a connected compact Polish space	Π_3^0 (-comp.)
Being a locally compact Polish space	Π_1^1 -comp
Being a compact Polish group	Π_3^0 (-comp.)

§2.1 The isomorphism problem.

Let K be a class of countable structures.

Definition

The isomorphism problem for K is the set

$$\{2^x 3^y : M_x, M_y \in K \text{ and } M_x \cong M_y\}.$$

The index set $\{e : M_e \in K\}$ of K reflexes the complexity of the characterisation problem.

The isomorphism problem measures how hard it is to classify structures up to isomorphism.

More results by various authors:

Isomorphism problem	Complexity
countable torsion-free abelian groups	Σ_1^1 -comp.
countable completely decomposable groups	Σ_7^0
countable Boolean algebras	Σ_1^1 -comp.
countable linear orders	Σ_1^1 -comp.
Separable L^p -spaces, $p \neq 0$	co-3- Σ_3^0 -comp.
Connected Polish abelian groups	Σ_1^1 -comp.

The general framework agrees with the thesis:

Mathematical structures that admit tractable classifications have both the characterisation problem and the isomorphism problem Σ_n^0 for some n .

In this case we say that the class **admits a local classification**.

Unclassifiable structures have one of the two Π_1^1 – or Σ_1^1 – complete.

There are not many “natural” examples in-between (at the transfinite hyperarithmetical levels).

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§2.2 An application.

Definition

A computable structure is **automatic** if the operations and relations are computed by a **finite state automaton**.

A finite automaton is a memoryless computational device (your laptop without the hard drive).

Approximately 20 years ago Khoussainov and Nerode asked for a characterisation of structures that admit an automatic presentation.

Sample results:

- 1 A finitely generated group is automatic iff it is virtually abelian (Oliver and Thomas 2005).
- 2 The automatic ordinals are exactly those below $\omega^{<\omega}$ (Delhomme 2004).
- 3 Similar characterisations exist for Boolean algebras and some other classes.

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Some years later they asked the more specific question:

Question (Khoussainov and Nerode 2008)

What is the complexity of the index set

$$\{e : M_e \text{ is isomorphic to an automatic structure}\}$$

of automatically presentable structures?

Theorem (B.H.-T.K.M.N.)

The index set of automatic structures is Σ_1^1 -complete.

Proof.

Hard. □

According to our framework, there is no characterization of automatic presentability.

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References

For a detailed exposition of the background, see the books:

Ash and Knight. Computable Structures and the Hyperarithmetical Hierarchy.

Soare. Recursively Enumerable Sets and Degrees.

For lots of open questions and bib references, see our recent survey:

<https://www.massey.ac.nz/~amelniko/SmallSurvey1.pdf>