

**Reminder:** An algebraic number field  $F$  is a field containing  $\mathbb{Q}$  such that every  $\alpha \in F$  is algebraic over  $\mathbb{Q}$  and  $F$  has finite dimension  $n$  (called the degree) as a vector space over  $\mathbb{Q}$ . An  $\alpha \in F$  is an algebraic integer if it is the root of a monic polynomial with integer coefficients. The set  $D = \{\alpha \in F : \alpha \text{ is an algebraic integer}\}$  is a Dedekind domain called the ring of integers of  $F$ .

**Theorem 30.1.** Let  $F$  be an algebraic number field of degree  $n$ . Then there are  $n$  distinct field embeddings (i.e., injective homomorphisms)  $\sigma_i : F \rightarrow \mathbb{C}$ . Each  $\sigma_i$  is the identity map on  $\mathbb{Q}$ .

**Alternative definition of norm:** For  $\alpha \in F$  we have  $N_F(\alpha) = \prod_{i=1}^n \sigma_i(\alpha)$ .

**Reminder:** An ideal  $I \subseteq D$  is a subgroup under  $+$  such that  $ID \subseteq I$ . The product of two ideals  $I_1$  and  $I_2$  of  $D$  is  $I_1 I_2 = \{\sum_{i=1}^n \alpha_i \beta_i : n \in \mathbb{N}, \alpha_i \in I_1, \beta_i \in I_2\}$ . A principal ideal, denoted  $(\alpha)$ , is  $\{\alpha\beta : \beta \in D\}$  for some  $\alpha \in D, \alpha \neq 0$ .

**Exercise 30.2.** Prove that a principal ideal is an ideal. Prove that the product of two ideals is an ideal. Prove that  $(\alpha_1)(\alpha_2) = (\alpha_1\alpha_2)$ .

**Definition 30.3.** A *fractional ideal* is a subset  $I \subseteq F$  such that

- (1)  $I$  is a subgroup of  $F$  under  $+$
- (2)  $ID \subseteq I$
- (3) there is some  $\beta \in D, \beta \neq 0$  such that  $(\beta)I \subseteq D$ .

**Exercise 30.4.** Prove that an ideal is a fractional ideal. Prove that if  $I$  is a fractional ideal and if  $(\beta)I \subseteq D$  then  $(\beta)I$  is an ideal. For any  $\alpha \in F^*$  define  $(\alpha) = \{\alpha\beta : \beta \in D\}$ . Prove that  $(\alpha)$  is a fractional ideal (called a principal fractional ideal).

**Lemma 30.5.** Let  $I$  be an ideal, then the set  $I^{-1} = \{\alpha \in F : \alpha I \subseteq D\}$  (introduced in Lecture 16) is a fractional ideal.

**Definition 30.6.** Two fractional ideals  $I_1$  and  $I_2$  are *equivalent* if there exist  $\alpha, \beta \in D$  such that  $(\alpha)I_1 = (\beta)I_2$ . We write  $I_1 \sim I_2$  if  $I_1$  and  $I_2$  are equivalent. Write  $[I]$  for the set of all fractional ideals equivalent to  $I$ .

**Exercise 30.7.** Prove that  $\sim$  is an equivalence relation. Prove that  $I \sim D$  if and only if  $I$  is a principal fractional ideal.

**Definition 30.8.**  $C_F = \{[I] : I \text{ is a fractional ideal of } F\}$ .

**Theorem 30.9.**  $C_F$  is a group.

**Alternative interpretation:**  $C_F$  is in one-to-one correspondence with the quotient group of all non-zero fractional ideals modulo the set of all principal fractional ideals  $(\alpha) = \{\alpha\beta : \beta \in D\}$  for  $\alpha \in F^*$ .

A major theorem in algebraic number theory is that the ideal class group is a finite group. We now prove this, first giving two necessary lemmas.

**Lemma 30.10.** Let  $F$  be an algebraic number field. There exists  $m_F \in \mathbb{N}$  such that, for all  $\gamma \in F$  there exist  $t \in \mathbb{N}$  with  $1 \leq t \leq m_F$  and  $\omega \in D$  satisfying  $|N_F(t\gamma - \omega)| < 1$ .

**Proof.** Let  $n$  be the degree of  $F$  and let  $\omega_1, \dots, \omega_n$  be a basis for  $D$  as a  $\mathbb{Z}$ -module. Let  $\gamma = \sum c_i \omega_i$  with  $c_i \in \mathbb{Q}$ . Using the complex embeddings  $\sigma_j$  to compute the norm, we obtain

$$(1) \quad |N_F(\gamma)| = \left| \prod_j \left( \sum_i c_i \sigma_j(\omega_i) \right) \right| \leq C(\max_i |c_i|)^n$$

where  $C = \prod_j (\sum_i |\sigma_j(\omega_i)|)$ . Choose  $m \in \mathbb{N}$  such that  $m^n > C$  and set  $m_F = m^n$ .

Denote  $a_i = \lfloor c_i \rfloor \in \mathbb{Z}$  so that  $c_i = a_i + b_i$  where  $0 \leq b_i < 1$ . Define  $[\gamma] = \sum_i a_i \omega_i \in D$  and  $\{\gamma\} = \sum_i b_i \omega_i$ . Then,  $\gamma = [\gamma] + \{\gamma\}$ .

Define  $\phi : F \rightarrow \mathbb{Q}^n$  by  $\phi(\sum_i c_i \omega_i) = (c_1, \dots, c_n)$ . Then  $\phi(\{\gamma\})$  lies in the unit cube  $[0, 1)^n$ . Partition the unit cube into  $m^n$  subcubes of side length  $1/m$ . Consider the points  $\phi(\{k\gamma\})$  for  $1 \leq k \leq m^n + 1$ . By the pigeonhole principle at least two of them, say,  $\{r\gamma\}$  and  $\{s\gamma\}$  with  $1 \leq r < s \leq m^n + 1$ , lie in the same subcube. Set  $t = s - r$  so that  $t\gamma = \omega + \delta$  where  $\omega \in D$  and the coordinates of  $\delta$  have absolute values  $\leq 1/m$ . It follows from equation (1) that  $|N_F(\delta)| \leq C(1/m)^n < 1$ .  $\square$

**Lemma 30.11.** Let  $k$  be an integer. There are only finitely many ideals  $I \subseteq D$  such that  $k \in I$ .

**Proof.** There is a homomorphism of additive groups  $\phi : D \rightarrow (\mathbb{Z}/k\mathbb{Z})^n$  with kernel  $(k)$ , namely  $\phi(\sum_i c_i \omega_i) = (c_1 \bmod k, \dots, c_n \bmod k)$ . Since  $(k) \subseteq I \subseteq D$  it follows that  $\phi(I)$  is a subgroup of  $(\mathbb{Z}/k\mathbb{Z})^n$ . Conversely, any subgroup of  $(\mathbb{Z}/k\mathbb{Z})^n$  corresponds to a unique additive subgroup  $I$  of  $D$  such that  $(k) \subseteq I$ . Since there are only finitely many subgroups of  $(\mathbb{Z}/k\mathbb{Z})^n$  the result follows.  $\square$

**Theorem 30.12.** The ideal class group of an algebraic number field is finite.

**Proof.** Let  $J \subset D$  be an ideal and let  $0 \neq \alpha \in J$ ; then  $0 \neq N_F(\alpha) \in \mathbb{Z}$ . Choose  $0 \neq \beta \in J$  with  $|N_F(\beta)|$  minimal. By Lemma 30.10, for any  $\alpha \in J$  we have  $|N_F(t\alpha - \omega\beta)| < |N_F(\beta)|$  for some  $\omega \in D$  and  $1 \leq t \leq m_F$ . Since  $t\alpha - \omega\beta \in J$  it follows that  $t\alpha = \omega\beta$ , and so  $t\alpha \in (\beta)$ . Hence  $(m_F!)J \subset (\beta)$ . Let  $I = (1/\beta)(m_F!)J \subset D$ ; then,  $I$  is an ideal and  $(m_F!)J = (\beta)I$ , that is,  $J \sim I$ . Since  $\beta \in J$ , we have  $m_F!\beta \in (\beta)I$  and hence  $m_F! \in I$ .

In other words, we have shown that every ideal  $J$  is equivalent to an ideal  $I$  such that  $m_F! \in I$ . The result now follows from Lemma 30.11, since there can only be finitely many ideals containing  $m_F!$ .  $\square$

**Definition 30.13.** The order of the ideal class group  $C_F$  is called the *class number* and is denoted  $h_F$ .